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&XUULFXOXP ‡'HJUHH 5HTXLUHPPHQWV ‡*UDGLQJ 3ROLFLHV ‡3URJUDP 'HYHORSE
\$FFUHGLWDWLRQ ‡3URIHVVLQRQDO 'HYHORSPPHQW ‡3URJUDP ‡KHVVLVH ‡DOORGRG

&&6) \$FDGHPLF 6HQDWH ([HFXWLYH &RQFLO 0LQXWH
:HGQHVGDI 2FWRESHU
2FHQQ \$YHQXH &DPSXV 08%

&RXQFLO 0HPEHUV 3UHVHQW -DFRE \$GDPV 6XVDQ %HUVWR
)LQDW(NDWHULQD)XFKV 6LPRQ +DQVRQ 7KRPDV .HQQHG\
2VERUQH 3DEOR 5RGULJXH] 0LWUD 6DSLHQ]D .HYLQ 6KHU
&KDG 6WHSKHQVRQ)UHG 7HWL 0DULH 9DUHOLDORVORVDULR
&KULVWLQD <DQXDULD

&RXQFLO 0HPEHUV \$EVHQW 2FWDYLR \$OYDUH] 0DOFROP &
2WKHU 6HQDWH 0HPEHUV 3UHVHQW 0DGHOLQH 0XHOOHU &
*XHVWV -RKQ +DOSLQ .ULVWLQ 6PLWK

, &DOO WR 2UGHU

,, \$GRSWLRQ RI \$JHQGD
\$JHQGD \$GRSWHG

,,, &RQVHQW \$JHQGD

5HVROXWLRQ	\$ \$SSURYDO RI 0LQXWHV 6H\$SWHPEH
5HVROYHG WKDW WKH ([HFXWLYH &RQFLO	&RQFLOE\ FRQVHQ

&KDQDQMXVWPHQWV WR WKH PLQXWHV

%XGJHW &RPPLWWHH 5HFRPPHQGDWLRQ
:KHUHDV DV LQVWLOQWZKLFK DQDORQDQG KRWZFORVHG VH
ZLWK QR UHSRUWDEOH DFWLRQV WKH &&6) %RDLQGR I 7UX
'LVVWV EZLGHWU

%3 LV DWBHZQLW HVWLQJ ERDOUGH\$RO LF\ EDVDFGWRYLWLHV
DURXQG WKH ODVW ERQG DQG HOHFWLRQ GRQDWLRQV 3RQ
RFFXUUHQFHV RI WKHVH HYHQWV

0RYHGW WHYHQ %URZQ 6HFRQGHG 6LPRQ +DQVRQ
0& \$EVMLRQVH
1RW SUZFWQWLR \$OYDUH] 0DOFROP &HFLO 'RXJODV 2Z\DQV

,9 3XEOLF &RPPHQW

- 'HVSLWH GLVDSSRLQWPHQW WKDW QR RQH IURP DGPLQ
PHPEHU UHIOHFWHG RQ KRZ SURXG VKH ZDV RI WKH UH
VWXGHQWV DW &RQODQ +DOO
- -RKQ +DOSLQ 'HDQ :RUNIRUFH 'HYLDFXSPHQWKEURKQK
WKH QHZ &DUHHU (GXFDWLRQ 3URJUDP *XLGHV
- 'HVLJQHG WR IDFLOLWDWHWDPGILWF XVDVXQVEHMQZHWKISD
6HQDWH PHPEHU UHLWHUDWHG WKH RQJRLQJ ZHHNO\ P
- 2QH 6HQDWHUPLV GLVWXUEHG E\ WKH 3OHYHO RI UKHWR
RSHQ XS FRQYHUVDWLRQ DQG FRPSURPLVH WKLV 6HQD
SRLVHG WR OHDG D FDPSDLJQ IRU SURIHVVLRQDOLVP D
- 2QH 6HQDWH PHPEHU UHLWHUDWHG WKH RQJRLQJ ZHHNO\ P
PHPRU\ RI 7DQQLV 5HLQKHUW] &XOLQDU\ \$UWV DQG +R
VKH WRXFKHG RXU OLYHV

9 \$GPLQLVWUDWLRQ 5HSRUW &KDQFHORU 0DUN 5RFKD

&KDQFHORU 5RFKD UHSRUWHG WKDW

- +H PZLWK)DFXOW\ DQG WKH 'HSDUWPHQW &KDLU &RXQF
\$)7 UHJDUGLQJ WKH FODVV VFKHGXOH ZKLFK LV FXUU
VHPHVWHU 7KH KRSH LV WKDW WKHUH ZLOO EH DGGLW
- &KDLUV UDLVHG WKH EXGJHW RRUKRQDWV VFKHGXOHV LV
JURXS ZLOO EH IRUPHG ZLWK &KDLUV IURP HDFK RI WKI
LV D FRPPXQLFDWLRQ EUHDNGRZQ DV WR KRZ WKH EXG
GRZQ WR WKH HSDUWPHQW IRU D FOHDU DQG WUDQVSD
- 7KHUH LV DOBRWWRQ FRPPLWWHH IRU 3KDVH RI WKH FI
:H VKRXOG EH DVKDPHG DW KRZ ZH KDYH FRQGXFWHG R
VHYHUDO ZHHNV 7KH WDVSHQRI ZLWKWKODK/HVPHQWLRQ RI 3
LQGLFDWHV WKDW ZH VKRXOG WDNH UHVSQRVLELOLW\
HOLPLQDWH D FXOWXUH RI 37UXPSLVP
- 1HYHU LQ KLV FDUHHU KDV KH DWWDFNHG VRPHRQH SH
GHVHUYHV WR EH DWWDFNHG SHUVRQDOO\ +H WUDFHG
H[SUHVVLQJ RXUVHOYHV ZKR FIDEXIDDQGXWKQV DQDWWH

+H SUHIHUV WR VWD\QD)W D Q F L V F R O O H J H V R R P D N H S H D F H
SURFHVV

- 'HVSLWH RXU SXEOLF GLVFRXUVH DQG WKH EHKDYLRU F
KHUH DQG WKRVRH PXVW EH WKH ILUVW VWHSV WRZDUG
- :H QHGH WR WUXVW WKH SUBHHVVR DQG DRZDSRORSU&S
DGPLQLVWUDWRU VDODU\ LQFUHDVHV ZDV KDQGOHG
- ,I \RX IHHO WKH QXPEHUV DUH QRW UHDO DQG WKDW \
ZRUN WKDW RXW ,I ZH FRQWLQXH LQ WKLV ZD\ WKH TX
WR FRPH LQWR WKLV W\SH RI HQYLURQPHQW
- 8SRQ KLV DUULYDO WKHUH ZDV DQ 3) DQ & DQ DUX LQFUH
6RRQ WKH UHDOLW\ RI UXQQLQJ RXW RI PRQH\ EHFDPH
DFFUHGLWDWLRQ PLGW&HUP UHSRUW LV GXH QH[W IDO
- \$ SHUFHQW VDODU\ LQFUHDVH IRU DGPLQLVWUDWRUV
JHWWLQJ EDFN RQ WUDFN ZLWK SURFHVV
- \$GPLQLVWHUHG E\ *DUWK .ZLHFLHQ 6U 'LUHFWRU RI \$
5HTXHVW IRU 3URSRV(DSOR)3H &RPSHQVDWLRQ DQG 9D
ZLWK 5HODWHG 6HUylFHV &HUWLILHG DQG &ODVVLILH
SXEOLF ZLWK D WDUJHW GDWH RI 1RYHPEHU 7KH
FKRVHQ ZDV UHylHZHG
- 7KH JRDO W\SH &R D W LILHG VWDII D IDLU FRQWUDFW
- +H ZLOO ZRUN WR JURZ HQUROOPHQW LQ RUGHU WR JH
- ,W LV WLPH IRU XV WR OHDUQ KRZ WR OLYH ZLWK HDFK
ZKLOH UHFRPPLWWLQJ WR SHDFH DQG SURFHVV

4XHVWLRQV DQG &RPPHQWV

- 2QH 6HQDWH PHPEHU DJUHHV ZLWK PRYLQJ IRUZDUG D
SURFHVV KDV EHHQ D VWLFNLQJ SRLQW
- 2QH 6HQDWH PHPEHU UHLWHUDWHG WKH LPSRUWDQFH I
FRQVWLWXHQWV DQG W\SH &R D W LILHG VWDII D IDLU
PDGH DQG OHW XV EULQJ WKH QXPEHUV PRUH 3HYHQOV
PHPEHUV
- 2QH 6HQDWH PHPEHU WKDQNHG WKH &KDQFHOOHU IRU
FRQYHUVDWLRQ ZLOO FRQWUDWH PHPEHU D VLQJOH PR
OLYLQJ LQ 6DQ)UDQFLVFR LQTXLUHG ZK\ DQ DXGLW RI
HPSOR\HHV RI WKH FROOHJH
- \$ORQJ WKH OLQHV RI XQLRUPLW\ RI FRPPXQLFDWLRQ F
FRPPHQWKDW () LV QRW VXEVFULEHG WR E\ DOO IDFX

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\$FDGHPLF 6HQDWH ([HFXWLYH &RXQFLO 0HH

/LWJN\ FDQ VKDQHG WXUHWKZHU GHWDLOV RI WKH PHPEHUVKI
)LUVW 9LFH 3UHVVLGHQW +DQVRQ GLVFXVVHG FRPPLWWHH

5HVROXWLRQ

\$SSRLQWPHQWV WR &RPF

- 1RQ &UHGLW \$GXOW (G

- 2QH 6HQDWH PHPEHU DVNHG DERXW IXQGLQJ RI RQOLQ
FRXUVH ZDV DSSURYHG ~~DIQKDWREGHFVPHVRIHFGRVFEWVG~~
DSSURYDO
- 2QH 6HQDWH PHPEHU DVNHG DERXW FRXUVH GHYHORS
GHYHORSHG LQGLYLGXDOO\ E\ WKH LQVWUXFWRU WHDF
- 2QH 6HQDWH PHPEHU PHQWLRQHG WKD ~~WRZLWKRODLQHS~~
FDQ EH WDXJKW E\ GLIIHUHQW LQVWUXFWRUV ±+RZHYHU
DQG HDFK LQVWUXFWRU KDV WKHLU RZQ FXUULFXOXP
- 2QH 6HQDWH PHPEHU ZDQWHG WR FOHDU XS±DQ LWHP R
VWDWLQJ WK ~~EWKDVYHDFRFXVH~~ RXWOLQH DQG ZLWK D IX
'LVWDQFH (GXFDWLRQ DJHQGD ZKLE ~~EQGLVXVQVHRSWRW~~
E/D ~~EFVUWTD@Ly'bePWHDSVNEpDaQD~~ VDFHKH VDPH 6HQDWH PHPEH
WKDW JURZQJ WODVV 7 b?#\$Urg00pK UULF ðQ LW